Computationally Secure
Two-Round Authenticated Message Exchange∗

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ABSTRACT
We prove secure a concrete and practical two-round authen-
ticated message exchange protocol which reflects the authen-
tication mechanisms for web services discussed in various
standardization documents. The protocol consists of a single
client request and a subsequent server response and works
under the realistic assumptions that the responding server
is long-lived, has bounded memory, and may be reset occa-
sionally. The protocol is generic in the sense that it can be
used to implement securely any service based on authenti-
cated message exchange, because request and response can
carry arbitrary payloads. Our security analysis is a compu-
tational analysis in the Bellare-Rogaway style and thus pro-
vides strong guarantees; it is novel from a technical point
of view since we extend the Bellare-Rogaway framework by
timestamps and payloads with signed parts.

Categories and Subject Descriptors
C.2.2 [Computer Systems Organization]: Network Pro-
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Keywords
cryptographic protocols, authenticated message exchange, timestamps

1. INTRODUCTION
A characteristic feature of web services (see, e.g., [25, 23])
and other services provided in the Internet (such as remote
procedure call [30, 32]) is their restricted form of communi-
cation. Unlike in other cryptographic settings, these proto-
cols have only two rounds: In the first round, a client sends
a single message (request) to a server; in the second round,
the server replies with a single message (response) contain-
ing the result of processing the request. A central security
goal arising is that of authenticated message exchange: The
server wants to be convinced that the request is new and
originated from the alleged client, while the client wants to
be convinced that the response originated from the intended
server and is a response to his request.

Protocols which are as described above are called two-
round authenticated message exchange protocol (2AMEX
protocol) in this paper. The underlying authentication is-
issues and mechanisms for dealing with them are discussed in
various papers, see, for instance, [9, 8], and also dealt with
in practice, see, for instance, [27]. However, no concrete
2AMEX protocol has been formally specified, let alone rig-
ously analyzed with respect to its security prior to our
work. Our work is an attempt at improving the situation.

1. specify a concrete and practical 2AMEX protocol,
called 2AMEX-1, reflecting what has been discussed in the
various standardization documents, see [27, 26],
2. adapt and extend the Bellare-Rogaway framework for
analyzing cryptographic protocols to the 2AMEX setting
(adjust it to two rounds, incorporate timestamps and pay-
loads with signed parts), and
3. prove the specified protocol secure.

Our work therefore provides a firm theoretical underpinning
for realistic and secure implementations of services which
require authentication.

A simple 2AMEX protocol works as follows: The client ap-
ends a message id (e.g., random nonce or sequence number)
to his actual request, signs the result, and sends the signed
message to the server. The server verifies the signature on
the received message and checks that it has not seen the
message id previously. It takes the result of processing the
request, appends the message id he received from the client,
signs the message obtained, and sends the signed message
to the client. Finally, the client verifies the signature and the
message id. The problem here is that the server needs to
keep track of all message id’s it has seen, because otherwise
it is easy to mount replay attacks. A natural and widely
considered reasonable approach to solve this problem is to
augment messages by timestamps and use them to filter out
replays [15]. 2AMEX-1 follows this approach.

The requirement of authenticated message exchange shows up in very different contexts, while the mechanisms
used for implementing it are more or less the same and in-
dependent of the respective context. This is reflected in
2AMEX-1: request and reply can both carry arbitrary pay-
loads. We even allow that a payload contains parts signed
with keys which are used for securing entire requests and

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responses, following what web service standards allow [27]. Clearly, the use of the keys is restricted for signing parts, because otherwise security is compromised.

Our security analysis is based on the seminal work by Bellare and Rogaway [7]. The model developed in this work is, however, not general enough. We extend it in two directions: first, we add timestamps, and, second, we add payloads and signature oracles for dealing with signed parts. Our model allows the adversary almost complete control over the local clocks of the principals, the only requirement is that clocks are monotone. In particular, we do not assume synchronized clocks, or a bounded clock drift. A crucial point in our extension of the Bellare-Rogaway framework is that the latter only considers authentication protocols with at least three rounds and that this is in fact a fundamental requirement for their definition of authenticity; our definition is a non-trivial adaptation of theirs. Another difference is that we carry out a concrete security analysis instead of an asymptotic one; we obtain the latter as a consequence.

Related work. The Bellare-Rogaway framework is only one option for studying computational security of cryptographic protocols. Another widely recognized option are simulation-based frameworks such as Canetti’s Universal Composition model [11], Küster’s model using inexhaustible Turing machines [22] or Backes, Pfitzmann, and Waidner’s Cryptographic Library [4]. These frameworks have the feature that they provide a notion of composition which allows for modular security proofs. However, there does not seem to be a way to “decompose” the long-lived server algorithm in our protocol into simpler components such that the aforementioned frameworks would simplify a security proof. A more detailed discussion can be found in [21], which is based on the work presented here, as presented in the underlying technical report.

There is a wide range of papers on entity authentication protocols (often in connection with key exchange). Bellare and Rogaway’s paper [7] has a very brief section about “authenticated exchange of text”, which discusses how in a three-round entity authentication protocol authenticated data can be transmitted. In that paper, the authors do not, however, give a formal definition of authenticated exchange of text nor do they consider two-round protocols nor their setting general enough to support an arbitrary service using this protocol. Entity authentication has also been studied in the Universal Composition model [13] and in combination with the cryptographic library [3]; a computational analysis of the Needham-Schroeder-Lowe entity authentication protocol [28, 24] is given in [31]. A crucial difference to our model is that in the mentioned papers, the responder (server) is short-lived, whereas in our model a server processes an unbounded number of requests from different clients, which is reminiscent of optimistic contract-signing protocols, see [2, 18], where the trusted third party potentially needs to remember an unbounded number of requests. In [12], long-lived principals are dealt with from a complexity point of view, whereas in our work long-lived servers are a modeling issue.

Timestamps, which are crucial to our work, have been used in various cryptographic settings, for instance, in a key exchange protocol proposed in [17]. In [16, 10] symbolic models for protocols with timestamps are introduced and techniques to analyze protocols within these models are described. In [19] the timing model is similar to ours, however, the paper is concerned with secure multi-party computation.

In our model, we allow the adversary to reset the server at any time; in [6] resetting of principals is discussed in a different context. As pointed out above, the payloads in 2AMEX protocols are determined by the adversary; in [29] a framework is proposed that models adversarial input in a general fashion.

Structure of the paper. We explain 2AMEX-1 informally in Sect. 2, present our formal model for 2AMEX protocols in Sect. 3, recast 2AMEX-1 in our formal framework in Sect. 4, give correctness and security definitions in Sect. 5, present our main result in Sect. 6, give sketches of the proofs in Sections 7 and 8, and conclude in Sect. 9. Further details are given in the appendix. A full version of this paper can be found in [20].

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2. PROTOCOL DESCRIPTION

In this section, we describe our protocol 2AMEX-1 informally. In 2AMEX-1, an authenticated message exchange between a client with identity c and a server with identity s works as follows.

1. a) \( c \) is asked by a user to send the request \( p_r \).
   b) \( c \) sends \( \{\text{From: } c, \text{To: } s, \text{MsgID: } r, \text{Time: } t, \text{Body: } p_r\} \) to \( s \).
   c) \( s \) checks whether the message is admissible and if not, stops.
   d) \( s \) forwards the request \((r, p_r)\) to the addressed service.

2. a) \( s \) receives a response \((r, p_s)\) from the service.
   b) \( s \) checks whether the response is admissible and if not, stops.
   c) \( s \) sends \( \{\text{From: } s, \text{To: } c, \text{Ref: } r, \text{Body: } p_s\} \) to \( c \).
   d) \( c \) checks whether the message is admissible and if not, stops.
   e) \( c \) forwards the response \( p_s \) to the user.

Here, \( r \) is a randomly chosen message identifier which is also used as a handle by the server (see steps 1.d) and 2.a)), \( t \) is the local time of the client, \( p_r \) is the payload the client sends, \( p_s \) is the payload the server returns, and \( \{\} \) stand for signing the message by the client and server, respectively. Repeating the message id of the request allows the client to verify that \( p_s \) is indeed a response to the request \( p_r \).

The interesting parts are steps 1.c) and 2.b). We assume that there is a constant \( \text{cap}_s > 0 \), the so-called capacity of the server, and a constant \( \text{tol}^*_s \) which indicates its tolerance with respect to inaccurate clocks. At all times the server keeps a time \( t_{\text{min}} \) and a finite set \( L \) of tuples \((t, r, c)\) of pending and handled requests. At the beginning or after a reset, \( t_{\text{min}} \) is set to \( t_s + \text{tol}^*_s \), where \( t_s \) denotes the local time of the server, and \( L \) is set to the empty set.

Step 1. c) Upon receiving a message as above, \( s \) rejects if \((t', r', c') \in L \) for some \( t' \) and \( c' \) or if \( t \notin [t_{\text{min}} + 1, t_s + \text{tol}^*_s] \), and otherwise proceeds as follows: If \( L \) contains less than \( \text{cap}_s \) elements, it inserts \((t, r, c)\) into \( L \). If \( L \) contains at least \( \text{cap}_s \), the server deletes all tuples containing the oldest timestamp from \( L \), until \( L \) contains less than \( \text{cap}_s \) tuples.

Then it sets \( t_{\text{min}} \) to the timestamp contained in the last tuple deleted from \( L \), and finally inserts \((t, r, c)\) into \( L \).

Step 2. b) When asked to send a payload \( p_s \) with message handle \( r \), the server rejects if there is no triple \((t, r, c) \in L \).
3. PROTOCOL MODEL

The framework we are working in is an extension and adaptation of the framework for entity authentication introduced by Bellare and Rogaway in [7] to the message authentication setting.

As mentioned earlier, in a bounded memory setting time is necessary to achieve resistance against replay attacks. We use \( l_{\text{time}} \)-bit numbers as time values for an arbitrary fixed \( l_{\text{time}} \in \mathbb{N} \). We also assume there is an arbitrary fixed identifier set \( \mathbb{ID} \subseteq \{0, 1\}^{l_{\text{ID}}} \) for an arbitrary fixed \( l_{\text{ID}} \in \mathbb{N} \) whose elements are called identifiers. We use them to identify principals, which can act both as clients and as servers.

3.1 Signature Schemes

Our message exchange protocols use signature schemes, where a signature scheme \( S = (G, S, V) \), satisfying the following conditions: (1) \( G \) is a key generation algorithm, i.e., a probabilistic algorithm which produces a pair \((pk, sk)\), where \( pk \) is a public key and \( sk \) the corresponding secret key; (2) \( S \) is a signing algorithm, i.e., a probabilistic algorithm which for any bit string \( m \in \{0, 1\}^* \) and any secret key \( sk \) produces a signature \( S(m, sk) \); and (3) \( V \) is a deterministic verification algorithm which on input \((m, S(m, sk)), pk)\) returns true if \((pk, sk)\) has been generated by \( G \). By \( \{m\}_{sk} \), we denote the pair \((m, S(m, sk))\), i.e., the bit string \( m \) accompanied by a valid signature obtained from the signature scheme. In the remainder of the paper, we assume a fixed signature scheme.

3.2 Clients and Servers

Before defining clients and servers formally, we describe how they are supposed to operate. An intended run of an authenticated message exchange protocol between a client \( c \in \mathbb{ID}s \) and a server \( s \in \mathbb{ID}s \) is initiated by the client side environment which wants to call some service on the server. The protocol run consists of two rounds, request and response, modeled by four steps as illustrated in Figure 1 (see our protocol description in Section 2):

c**client send** The client is given a request payload \( p_c \) by the environment which is a request to the service provided by the server \( s \). The client encapsulates the payload, adding security data etc., and sends the resulting message \( m_c \) over the network.

server receive The server receives the message \( m_c \) from the network, accepts the message and unwraps it, giving the payload \( p_c \), a handle \( h \), and the identified sender of the incoming message \( c \) to the service.

server send The server is provided with a response payload \( p_s \) and the handle \( h \) by the service (which chose \( p_s \) as a response to the request payload \( p_c \)). The server encapsulates the payload and sends a message, \( m_s \), over the network.

c**client receive** Finally, the client receives the message \( m_s \) from the network and returns \( p_s \) to the environment.

To give the strongest security guarantees possible, the roles of the environment, the service, and the network are all played by the adversary in our security model. As the adversary is free to choose any payload, our protocols support any service.

This leads to the following formal definitions. Client and server algorithms are probabilistic algorithms with input parameters and output values as specified in Table 1, and explained in what follows.

The input for the client algorithm consists of (i) an instruction which can either be send or receive, (ii) identifiers \( c \in \mathbb{ID}s \), \( s \in \mathbb{ID}s \) of the client that the algorithm runs for and the server it is supposed to communicate with, (iii) the family of public keys\(^1\) \( pk_{ID} = \{pk_a\}_{a \in \mathbb{ID}s} \) and its own private key \( sk_c \), (iv) the local time \( t \in \{0, 1\}^{l_{\text{time}}} \), (v) the payload \( p \in \{0, 1\}^* \) to send or the message \( m \in \{0, 1\}^* \) obtained from the network, and (vi) local state information \( \mu \) (local memory of the principal).

The server receives almost the same arguments, the differences being there is no partner identifier (a server communicates with several clients), but a message handle, and there is an additional reset instruction with empty incoming message and local state.

The server algorithm returns the following values when called with a message \( m_s \): The extracted payload \( p \in \{0, 1\}^* \), the decision \( \delta \) which is \( A \) (accept) if the command succeeded (which in the case of a receive command includes

\(^1\)Strictly speaking, the algorithms do not get the whole set of keys as input, instead they have access to an appropriate oracle.
successful authentication) or R (reject) if authentication was unsuccessful or an error occurred. The algorithm further returns the identity c it assumes to be communicating with in the current round (the dummy value ε is used for a rejected message), a message handle which later enables the service to respond to the message, and the updated local state (local memory) μ.

Clients have the same output syntax except that there is no need to output a message handle or the assumed partner, because the latter is contained in the input parameters of the algorithm.

Execution Orders. Note that it only makes sense to call the algorithms with instructions in a natural order, and that we have to assume that the algorithms do in fact accept messages, i.e., (i) a server should accept a send command after accepting an incoming request with a receive command (if there was no reset between these commands), (ii) a client instance accepts at most one send and one receive command (where send has to predate receive), etc. Therefore, we require that the client algorithm rejects if instructions arrive out of order, and that the server accepts at least in case (i).

### 3.3 2AMEX Protocols, Adversary, and the Experiment

We now give the formal definition of a Two-Round Authenticated Message Exchange (2AMEX) protocol. Such a protocol is a tuple Π = (Γ, Σ, τ, ϕ, E) where Γ and Σ are the set of identities of oracles the adversary calls and the identities of the client and server algorithms, τ and ϕ are the time and freshness functions (see below), and E is an exception set as defined below.

A **time function** is a function that assigns to each client message m_c a time value τ(m_c). The intended interpretation is that τ(m_c) is the time at which m_c was supposedly created. The time function will be used to phrase the correctness condition (see Section 5).

A **freshness function** is a function which, for an identity s, state information μ_s, and a time t_s, specifies a freshness interval ϕ(s, μ_s, t_s), see Section 4 for an example. This is the interval of time values the server s considers fresh, i.e., for the server to consider a message fresh the time value of that message has to be in the server’s freshness interval.

An **exception set** is a set of bit strings called exceptions which is recognizable in polynomial time. This is the set of bit strings which the signature oracle (see below) will refuse to sign for the adversary.

We next describe how all these components work together. This is done, as usual, by defining an appropriate notion of experiment, in which the protocol is running with an adversary. The latter is simply an arbitrary probabilistic algorithm.

We assume that as a first step the adversary specifies a set of identities A ⊆ IDs, which has to include both the identities of oracles the adversary calls and the identities that will occur in messages. For every principal s ∈ IDs a server instance Σ_s runs under the identity s. For every pair of principals c, s ∈ IDs arbitrarily many client instances Γ_c,s run where c acts as a client and s as a server, and where s is a natural number. We let the adversary control all these instances, that is, the adversary can decide when to call such an instance, which payloads to choose, which local times are used, etc. To set the local clock of a principal, the adversary can use a time instruction with the only restriction that the value of the local clock cannot be decreased by the adversary, i.e., each principal’s clock is monotone.

There is a signature oracle S, which can be used by the adversary (i) to sign bit strings while he constructs the payload for a send instruction, and (ii) to corrupt a principal’s key. The corresponding instructions are sign and corrupt. Clearly, we cannot allow the adversary to use the signature oracle to sign every bit string. Therefore, the signature oracle refuses to sign bit strings belonging to the exception set specified in the protocol description.

The experiment works in steps, where in each step the adversary can perform an action (send, receive, reset, sign, corrupt, time), for which he provides the parameters under his control and receives the output values. The details of the experiment are given in Table 2.

In the experiment, traces are recorded for each instance, which allow us to define correctness and security of a protocol, see the next section. A trace is a sequence of tuples containing a step number and the observable action of the instance in the corresponding step, i.e., the local time t, the payloads and messages received or sent by the instance in this step, as well as the decision of the instance (accept or
4. FORMAL DEFINITION OF 2AMEX-1

In this section, we recast our protocol 2AMEX-1 within the formal framework developed in the previous section, and comment on various aspects of it.

1. Generate keys.
   Let the adversary specify a set $A \subseteq ID$s, and for each $a \in A$:
   (a) Let $(pk_a, sk_a) \leftarrow \mathcal{R}.G()$.
   (b) Send $(a, pk_a)$ to the adversary.

2. Initialize clocks.
   For each $a \in ID$s, let $t_a \leftarrow 0$.

3. Initialize states and traces of the clients.
   For each $i \in \mathbb{N}$ and $c, s \in ID$s, let $tr_{i,s} \leftarrow i$ and $\mu_{i,s} \leftarrow i$.

4. Initialize states and traces of the servers.
   For each $s \in ID$s, let $tr_s \leftarrow s$ and $\mu_s \leftarrow s$.

5. Initialize step counter.
   Let $n \leftarrow 0$.

6. Run the adversary step by step.
   Run the adversary, and in each step first increase the counter $n$ and then call client, server or signature algorithm as follows according to the adversary’s selection:

   - $\Gamma_{n+1}^c \leftarrow \text{send}(p)$
     (i) $(m, \delta, \mu) \leftarrow \mathcal{R}_{\text{send}}(c, s, \text{pk}_{ID}s, \text{sk}_{c}, t_c, p, \mu_{i,s})$
     (ii) $\mu_s \leftarrow \mu$
     (iii) $tr_{r,s} \leftarrow \max(tr_{r,s}, (n, \text{send}, t_c, p, m, \delta))$
     (iv) return $(n, \delta, c, h, \mu)$ to the adversary.

   - $\Sigma_s : \text{receive}(m)$
     (i) $(p, \delta, c, h, \mu) \leftarrow \mathcal{R}_{\text{receive}}(s, \text{pk}_{ID}s, \text{sk}_c, t_s, m, \mu_s)$
     (ii) $\mu_s \leftarrow \mu$
     (iii) $tr_s \leftarrow \max(tr_s, (n, \text{receive}, t_s, p, m, \delta, c, h))$
     (iv) return $(n, \delta, c, h, \mu)$ to the adversary.

   - $\Gamma_{n+1}^c \leftarrow \text{receive}(m)$
     (i) $(p, \delta, c, h, \mu) \leftarrow \mathcal{R}_{\text{receive}}(c, s, \text{pk}_{ID}s, \text{sk}_c, t_c, p, \mu_{i,s})$
     (ii) $\mu_s \leftarrow \mu$
     (iii) $tr_{r,s} \leftarrow \max(tr_{r,s}, (n, \text{receive}, t_s, p, m, \delta))$
     (iv) return $(n, \delta, c, h, \mu)$ to the adversary.

   - $\Sigma_s : \text{reset}()$
     (i) $(m, \delta, c, h, \mu) \leftarrow \mathcal{R}_{\text{reset}}(s, \text{pk}_{ID}s, \text{sk}_c, t_s, m, \mu_s, c, t_c)$
     (ii) $\mu_s \leftarrow \mu$
     (iii) $tr_s \leftarrow \max(tr_s, (n, \text{reset}, t_s, m, \mu_s))$
     (iv) return $(n, \delta, c, h, \mu)$ to the adversary.

   - $\mathcal{S} : \text{sign}(a, p)$
     (i) If $p \notin E^c$, return $(p, sk_a)$, otherwise return $\varepsilon$ to the adversary.

   - time($a, t$)
     (i) $t_a \leftarrow \max(t_a, t)$
     (ii) return $t_a$ to the adversary.

### Table 2: The experiment $\text{Exp}_{\Pi, A}$ for an adversary $A$

| Protocol $\Pi$ (as in Sect. 2) and the formal description of 2AMEX protocols from Sect. 3. So what we have to specify is the server algorithm as well as the client algorithm, the time function as well as the freshness function, and the exceptions set. We also choose some $\text{ID} \in N$ as the length of the message id’s used in the protocol.

**Server Algorithm, Freshness Function, and Time Function.** Let $s$ be the identity that the server algorithm $\Sigma$ is called with. As local state $\mu$, the server uses a tuple $(t_{\text{min}}, L)$ consisting of a variable $t_{\text{min}}$ holding a single timestamp and a set $L$ of triples of the form $(t, r, c)$ where $t$ is a timestamp, $r$ is a server id, and $c$ is an identity.

The freshness function is defined by $\varphi(s, (t_{\text{min}}, L), t) = t_{\text{min}} + 1 + t + |\mu_s|$. The server first checks if it is called with local state $s$ and if so (i.e. initially and after each reset), sets $t_{\text{min}}$ to $t_r + |\mu_s|$ where $t_r$ is the current local time of the server, and sets $L$ to the empty set. Then the server proceeds according to the instruction.

Upon receiving $m_a = \{\langle\text{From} : c, \text{To} : s', \text{MsgID} : r, \text{Time} : t, \text{Body} : p_1\rangle\}_{sk_s}$, at local server time $t_s$ with local state $\mu = (t_{\text{min}}, L)$, the server $s$ performs the following:

1. If one of the following conditions is met, stop and return $(\varepsilon, R, R, s, \mu)$:
   (a) $s' \neq s$
   (b) $V(m_c, pk_c)$ returns false,
   (c) $t \notin \varphi(s, \mu, t_c)$,
   (d) $(t', r, c') \in L$ for some $(t, r, c)$.

2. If $|L| \geq \cap_s$
   (a) $t_{\text{min}} \leftarrow \min(t_{\text{min}}, t')$
   (b) $L \leftarrow \{t', r, c'\} \in L$.

3. Return $(p, A, c, r, (t_{\text{min}}, L))$.

Observe that this corresponds to steps 1.c) and 1.d) of the informal description in Sect. 2.

The following corresponds to steps 2.a)–c) of the informal description in Sect. 2. When asked to send a payload $p$, with message handle $r$ and state information $\mu = (t_{\text{min}}, L)$, the server algorithm proceeds as follows:

1. Look for $(t, r, c) \in L$ with $c \neq \varepsilon$. If no matching triple is found in the list, return $(\varepsilon, R, R, s, \mu)$.

2. $m_a \leftarrow \{\langle\text{From} : s, \text{To} : c, \text{Ref} : \text{Ref}, \text{Body} : p\rangle\}_{sk_s}$.

3. $L \leftarrow L \setminus \{t_{\text{min}}, t', (t, r, c)\}$.

4. $(m_a, A, c, r, (t_{\text{min}}, L))$.

The time function is defined by $\tau(m_c) = t$ where $m_c$ is as above.

**Client Algorithm.** Let $c$ be the client identity that $\Gamma$ is called with. If we perform a payload $p_c$ to a server $s$ at time $t$ and the local state $\mu$ is $\varepsilon$, the algorithm randomly chooses the message id $t_{\text{ID}} = \mathcal{R}_{\text{msg}}\{0, 1\}^{128}$, sets $m_c = \{\langle\text{From} : c, \text{To} : s, \text{MsgID} : r, \text{Time} : t, \text{Body} : p_c\rangle\}_{sk_s}$ and returns $(m_c, A, r)$. If requested to send when $\mu \neq \varepsilon$, it returns $(\varepsilon, R, R)$. Note that this corresponds to steps 1. a) and 1. b) of the informal description in Sect. 2.

The following steps corresponds to steps 2.c)–e) of the informal description in Sect. 2. If the algorithm is instructed to receive a message $m_r = \{\langle\text{From} : s', \text{To} : c', \text{Ref} : \text{Ref}, \text{Body} : p_r\rangle\}_{sk_r}$ when the local state is $\mu$, it proceeds as follows:

1. If one of the following conditions is met, stop and return $(\varepsilon, R, \mu)$:
   (a) $|\mu| \neq \text{ID}$. 

2. Return \((p_s, A, 0, t^{t+tol_s})\).

**Bit String Representations and Exceptions.** Our description above leaves open the actual format of the messages. We assume that the tags From, Time, ... and tuples which form the messages are represented as bit strings in such a way that the individual components can be retrieved without ambiguity.

The set \(E^* \subseteq \{0, 1\}^*\) is the set of all bit string representations of messages of the form \((\text{From}: c, \text{To}: s, \text{MsgID}: r, \text{Time}: t, \text{Body}: p_s)\) or \((\text{From}: s, \text{To}: c, \text{Ref}: r, \text{Body}: p_s)\). We assume the bit string representation is such that \(E^*\) is recognizable in polynomial time. For example, by using SOAP [25] one can meet these requirements.

This completes the formal definition of our protocol. Note that it can easily be seen that the restrictions on execution orders from Sect. 3.2 hold. Also, it is easy to see that our protocol indeed achieves to work with bounded memory:

**Remark 1.** The size of the state of a server \(s\) is bounded by the size of the bit string representation of \((t_{\text{min}}, L)\), where \(t_{\text{min}} \in \{0, 1\}^{t_{\text{inst}}}\) is a timestamp and \(L\) is a list of cap\(_s\) many tuples of the form \((t, r, c)\) with \(t \in \{0, 1\}^{t_{\text{inst}}}, r \in \{0, 1\}^{l_{\text{inst}}}\) and \(c \in \{0, 1\}^{l_{\text{inst}}}\).

**5. CORRECTNESS AND SECURITY DEFINITIONS**

We now define what it means that a protocol is correct and secure in our model. For a fixed execution of the experiment, an identifier \(s\) and a natural number \(n\), we use \(\mu_s(n)\) to denote the content of the local state of a server \(s\) at step \(n\).

**5.1 Correctness Definition**

Informally, our notion of correctness requires that if messages are delivered as intended by the network (i.e., the adversary), then all parties accept (given that the messages are accepted correctly by the servers) the sender of each message is correctly determined, and the payloads are delivered correctly.

Formally, we say that an adversary \(A\) is benign if it only delivers messages that were obtained from a client or server instance, and delivers a message at most once to every instance. This models a situation in which arbitrary payload is sent over a network in which messages may get
lost, all messages can be read by anybody, and servers can lose local state information, but no message is altered, no false messages are introduced, and no replay attacks are attempted.

**Definition 1.** A 2AMEX protocol \( \Pi \) is \((n, \varepsilon)\)-correct if for any benign adversary \( A \) that starts at most \( n \) many client sessions, and any \( c, s \in \mathbb{I} \)Ds the following conditions are met:

1. If \((n_1, \text{send}, t_1, p, m, A) \in \text{tr}_s^{f_{c,s}} \), \((n_2, \text{receive}, t_2, p', m_c, \delta, c', h) \in \text{tr}_s \), and \( \tau(m_c) \in \varphi(s, \mu_s(n_2), t_2) \), then \( c' = c \), \( p_c = p'_c \), and \( \delta = A \), with probability at least \( 1 - \varepsilon \).

2. If, additionally, \((n_3, \text{send}, t_3, p, m, A, c', h) \in \text{tr}_s \) and \((n_4, \text{receive}, t_4, p', m_s, \delta) \in \text{tr}_s^{f_{c,s}} \) with \( n_2 < n_3 \) and \( n_1 < n_4 \), but with no \((n', \ldots, A, \ldots) \) in \( \text{tr}_s \) such that \( n_2 < n' < n_3 \), then \( p_c = p'_c \) and \( \delta = A \).

Note that this definition leaves a loop hole for “correct”, but utterly useless protocols: The freshness function \( \varphi \) is part of the specification, and a protocol only has to be correct with regard to this choice of \( \varphi \). Hence a protocol in which \( \varphi \) always returns the empty interval is not required to accept any messages. For protocols to be useful in practice, it is desirable to have a large freshness interval, see [20] for a discussion.

Similarly, this definition only guarantees that the service can respond to the last message that the server received and accepted. Using message handles, a good protocol should allow the service to respond to any of the recently received messages.

The reason why we only require the server to accept with high probability is that we allow randomness in our algorithms, and therefore collisions cannot be ruled out completely.

### 5.2 Running Time

For the security definition, we need the notion of running time of algorithms. We will use a probabilistic RAM model based on [14], in which arbitrary registers can be accessed in constant time. We also adopt the convention that “time” refers to the actual running time plus the size of the code (relative to some fixed programming language), see, e.g., [5]. Oracle queries are answered in unit time. We assume that the running time of the algorithms of the signature scheme is as follows: Generating a key pair takes time \( t_{G} \), signing or verifying a bit-string with \( l \) bits takes time \( t_{S}(l) \) or \( t_{V}(l) \), respectively.

### 5.3 Security Definition

We now define when a protocol is called secure by defining a function which matches client and server traces. We will only consider the acceptance trace of a client instance \( \Gamma_{c,s}^{f_{c,s}} \), which is the subsequence of all steps in the trace \( \text{tr}_s^{f_{c,s}} \) of the form \((n, \ldots, A)\). We also say that an instance accepts at step \( n \) if there is an entry of the form \((n, \ldots, A)\) or \((n, \ldots, A, \ldots)\) in its trace.

Depending on the result of the experiment, we define the event \( \text{NoMatch}_{A} \), which is intended to model the event that the adversary \( A \) has successfully “broken” the protocol \( \Pi \). A partner function is a partial map \( f : \mathbb{I} \text{Ds} \times \mathbb{I} \text{Ds} \times \mathbb{N} \rightarrow \mathbb{N} \). Informally, for each client instance \( \Gamma_{c,s}^{f_{c,s}} \), the function \( f \) points to a step (identified by step counter \( n \)) in which the server accepts the message sent from \( c \) to \( s \) in session \( i \) if there is a step such that

If a “matching” partner function (see below) can be defined, then the experiment was successful in the sense that the adversary did not compromise authenticity of the message exchange. More formally, matching \( w.r.t. \) a given partner function is defined as follows.

1. A trace \( \text{tr}_{c,s} \) of a client \( c \) matches the server trace \( \text{tr}_s \) of the server \( s \) w.r.t. a given partner function \( f \) if the acceptance trace of \( \Gamma_{c,s}^{f_{c,s}} \) is of the form \((n_1, \text{send}, t_1, p, m, A)\)(\(n_4, \text{receive}, t_4, p, m, A, c, h)\) and there are timestamps \( t_2, t_3 \); step numbers \( n_1 < n_2 < n_3 < n_4 \), and a handle \( h \) such that \((n_2, \text{receive}, t_2, p, m, A, c, h) \in \text{tr}_s \) and \((n_3, \text{send}, t_3, p, m, A, c, h) \in \text{tr}_s \), and \( f(c, s, i) = n_2 \).

2. A step \((n_2, \text{receive}, t_2, p, m, A, c, h) \in \text{tr}_s \) of the client \( c \) w.r.t. a given partner function \( f \) if \( f(c, s, i) = n_2 \) and the first accepting step in \( \text{tr}_{c,s} \) is of the form \((n_1, \text{send}, t_1, p, m, A)\) for some \( t_1 \) and \( n_1 < n_2 \).

For a partner function \( f \), the event \( \text{NoMatch}_{A} \) denotes that \( \text{NoMatch}_{A} \) occurs for all partial functions \( f \) : \( \mathbb{I} \text{Ds} \times \mathbb{I} \text{Ds} \times \mathbb{N} \rightarrow \mathbb{N} \) when the experiment is run with protocol \( \Pi \), and adversary \( A \), i.e., the event that there does not exist a partner function that validates the success of the experiment.

The advantage of an adversary \( A \) running against \( \Pi \) is the probability that the adversary is successful in breaking the protocol, formally defined by \( \text{Adv}_{A} = \Pr[\text{NoMatch}_{A}] \).

An adversary is called \((l, \text{ID}, \text{rev}, \text{rand}, \text{sign}, \text{sign}, \text{cor}, \text{data})-\text{adversary}\) if the following holds: Its overall running time is bounded by \( t \); the adversary selects no more than \( n_d \) identities in its first step, and for each of these identities, the number of calls with receive, send, sign, or time instructions is bounded by \( \text{rev}, \text{rand}, \text{sign}, \text{and \ sign} \), respectively; in each of these calls, the size of the payload or message provided to the principal is no more than \( l_{data} \), and the total number of principals corrupted by the adversary is not larger than \( n_{cor} \).

**Definition 2.** A 2AMEX protocol \( \Pi \) is \((l, \text{ID}, \text{rev}, \text{rand}, \text{sign}, \text{sign}, \text{cor}, \text{data})\)-secure if we have \( \text{Adv}_{A} \leq \varepsilon \) for any \((l, \text{ID}, \text{rev}, \text{rand}, \text{sign}, \text{sign}, \text{cor}, \text{data})-\text{adversary}\) \( A \).

Note that our notion of security takes care of replay attacks: If a server accepts a message \( m_1 \) twice from the same client \( c \), then in the trace \( \text{tr}_c \) there are two different entries having step counters \( n_1 \neq n_2 \). If the event \( \text{NoMatch} \) does not occur, there must be a partner function \( f \) and tuples \((c, s, i_1)\) and \((c, s, i_2)\) such that \( f(c, s, i_1) = n_1 \) and \( f(c, s, i_2) = n_2 \). Since \( f \) is a function and \( n_1 \neq n_2 \), it follows that \( i_1 \neq i_2 \). Therefore, the client \( c \) did send the message \( m_1 \) twice: once in session \( i_1 \), and once in session \( i_2 \). Hence, our notion of se-
curity does allow a server to accept the same message twice, but only if it also has been sent twice.

However, the server has no way of knowing whether a message that has been received twice was also sent twice. Therefore, protocols satisfying our security definition will have to be designed in such a way that a message is accepted at most once by a server (with all but negligible probability).— Observe that it is of course allowed for the server to accept the same payload twice from the same client.

6. MAIN RESULT: CORRECTNESS AND SECURITY OF 2AMEX-1

First, we state that 2AMEX-1 is indeed correct:

**Theorem 1.** The protocol 2AMEX-1 with message id length \( l_{\text{msg}} \) is an \((n, \varepsilon)\)-correct 2AMEX protocol, where \( \varepsilon = 1 - 2^{-n_{\text{len}}} \cdot \prod_{i=0}^{n+1} (2^{l_{\text{cap}}} - i) \).

Now, following a standard approach, we show that 2AMEX-1 is secure: For each adversary against 2AMEX-1 we construct an adversary against the underlying signature scheme with comparable running time and success probability. We first recall a standard security notion for signature schemes, namely strong existential unforgeability under chosen message attacks (sEUF-CMA, see, e.g., [1]): An adversary against a signature scheme is a probabilistic algorithm that as input receives a public key \( pk \) generated by the key generation algorithm, and has access to a signature oracle \( \sigma \) corresponding to the public key \( pk \) and has access to a signature oracle \( \sigma \) corresponding to the public key \( pk \). The adversary is successful if it produces a pair \((m, \sigma)\) with a valid signature \( \sigma \) of \( m \) (corresponding to \( pk \)) that has not been generated by the oracle \( \sigma \). For a running time \( t \), natural numbers \( q \) and \( l \), and a probability \( \varepsilon \), the adversary \((t, q, l, \varepsilon)\)-breaks the signature scheme if it runs in time bounded by \( t \), uses at most \( q \) oracle queries, each query is of length at most \( l \), and is successful with probability at least \( \varepsilon \). Consequently, a signature scheme is \((t, q, l, \varepsilon)\)-secure if there is no adversary that \((t, q, l, \varepsilon)\)-breaks it.

**Theorem 2.** 2AMEX-1 is \((t_1, n_{\text{ID}}, n_{\text{rcv}}, n_{\text{send}}, n_{\text{sign}}, l_{\text{time}}, n_{\text{cap}}, l_{\text{data}}, \varepsilon_1)\)-secure if the signature scheme used is \((t_2, q_2, l_2, \varepsilon_2, \delta_2)\)-secure with \( t_2 \in O(t_1 + n_{\text{ID}} \cdot (t_2 + n_{\text{sign}} \cdot (\text{cap}_{\text{max}} \cdot (l_{\text{ID}} + l_{\text{msg}}) + l_{\text{time}}))) \), \( q_2 \leq n_{\text{sign}} + n_{\text{send}} \), \( l_2 \leq l_{\text{msg}} \), and

\[
\varepsilon_2 \leq \frac{\varepsilon_1}{n_{\text{ID}}} + \left( \frac{q_{2\text{ms}}}{{2^{l_{\text{len}}}} - 1} \right) \frac{2^{l_{\text{cap}}}}{2^{l_{\text{cap}} + l_{\text{ID}} + l_{\text{msg}}}} - 1 ,
\]

where \( n_{\text{ms}} = n_{\text{rcv}} + n_{\text{send}} + n_{\text{sign}} + n_{\text{time}} \), the maximum of the capacities of all servers is \( \text{cap}_{\text{max}} \), and \( l_{\text{msg}} \in O(l_{\text{ID}} + l_{\text{cap}} + l_{\text{time}} + l_{\text{data}}) \).

The security proof for our protocol, see Section 8, first reestablishes that in 2AMEX-1, no server accepts the same message twice, therefore replay-attacks in their most obvious form are impossible. We then prove that every “break” of our protocol (i.e., every occurrence of NoMatch1\(_A\)) implies that collision of message id’s or existential forgery of a signature happened. We then use this fact to construct a simulator that uses an adversary against 2AMEX-1 and a “simulated” protocol environment to construct an adversary against the signature scheme. Theorem 2 then follows from a precise analysis of the resources used and success probability achieved by the thus-obtained adversary. We mention in passing that the constants hidden in the \( O \)-notation in Theorem 2 are reasonably small ( \( \leq 100 \)).

Often, a Turing-machine based asymptotic notion of security is considered, where it is required that the success probability of every polynomial-time adversary drops rapidly when the security parameter (in our case, this reflects the length of the keys for the signature scheme as well as the nonce length \( l_{\text{msg}} \)) increases. Since Cook and Reckhow proved that RAM machines and Turing machines are polynomially equivalent [14], the above Theorem 2 implies the following (see [20] for details):

**Corollary 1.** 2AMEX-1 is asymptotically secure if it is used with a signature scheme that is asymptotically sEUF-CMA secure.

**Remark 2.** Note that our security definition is strong in the sense that the messages in the client and server traces have to match exactly. Therefore, for our protocol to be asymptotically secure, we need a signature scheme that is secure against strong existential unforgeability. If, for 2AMEX-1, we allow the adversary to replace the signature of a message with another valid signature of the same message with the same key (which yields a reasonable, but weaker security notion), then one can work with ordinary existential unforgeability (EUF-CMA).

A general way to obtain a weaker, but still satisfying security definition is as follows: First, we define an equivalence relation on messages: We say that two messages \( m_1 \) and \( m_2 \) are equivalent if the following holds for both the client and the server algorithm. For any fixed sequence of random coin flips and input parameters (except the input message), all output values of the algorithm called with message \( m_1 \) are identical to the values produced by the algorithm when called with message \( m_2 \). Now the definition of matching traces is relaxed: Two traces match if the message received by one party is equivalent to the message sent by the other party.— It can be shown that 2AMEX-1 is secure in this sense if the underlying signature scheme is EUF-CMA secure only.

7. CORRECTNESS OF 2AMEX-1

We now prove Theorem 1. Note that there are \( 2^{l_{\text{cap}}} \) different message id’s, hence the probability of all of these message id’s being different is exactly

\[
\frac{2^{l_{\text{cap}}} \cdot (2^{l_{\text{cap}} - 1}) \cdot (2^{l_{\text{cap}} - 2}) \cdots (2^{l_{\text{cap}} - n + 1})}{(2^{l_{\text{cap}}})^n} ,
\]

thus \( \varepsilon \) from the statement of the theorem is the probability of a collision of message id’s. It therefore suffices to show that the relevant messages are always accepted, unless there are two different client sessions that choose the same message id.

So assume that there are no collisions of message id’s, let \((n_{\text{send}}, t_1, p_c, m_c, A) \in T_{r_1, c}^s\) and \((n_{\text{receive}}, t_2, p_r, m_r, \delta_s, c', h) \in T_{r_2, r}^s\) in an experiment where \( A \) is a benign adversary, and assume that \( t_1 \in \varphi(s, \mu(n_{\text{send}}), t_2) \).

First, note that the message id of \( m_c \) can only be the same as that of a message that was previously delivered to \( s \) if a collision in the above sense occurs, since \( A \) is benign and therefore delivers \( m_c \) at most once to \( s \). Hence, we can assume \( n_{\text{send}} < n_{\text{receive}} \). We show that none of the four cases that lead to rejection of the message on the server side happens,
unless a collision of message id’s has occurs. Since $m_e$ was created by the client instance $\Gamma_s$, we know that the To- and From-fields of $m_e$ are $s$ and $c$, respectively, and that $m_e$ was signed with $c$’s private key. Due to the above, we also know that unless a collision appeared, $m_e$’s message id does not already appear in the set $L$ maintained by $s$. Finally, the message cannot be rejected in step 1(c), since by the prerequisites, $\tau(m_e) = t_1 \in \varphi(s, p_s(n_1), t_2)$. Thus, the server accepts in all cases where no collision has occurred. By construction of the protocol, it is also clear that the server concludes that the message has been sent by $c$, and that $p_e = p_s$ because the Body-Field of $m_e$ equals $p_s$.

Now assume that additionally $(n_2, \text{send}, t_3, p_s, m_s, A, c', h) \in \tau_s$ and $(n_4, \text{receive}, t_4, p_s, m_s, h_i) \in \tau'_s$, with $n_2 < n_3$ and $n_1 < n_4$, but with no $(n', \ldots, A, \ldots) \in \tau$ such that $n_2 < n' < n_3$.

First, we know that the server only generates one response for the incoming message $m_e$ (as he overwrites $c$ with $c'$ in the tuple $(t, r, c)$ in $L$ after sending the response), and since the adversary is benign, this response is delivered only once to $c$, so $n_3$ is the only step in which a response can be accepted by $c$. Now we know that the probability of rejection by the client is zero, because the To-field of the response is set to $c$, the message id is correct as it was stored in the server’s memory (which was not reset between $n_2$ and $n_3$), and the server’s signature is correct. Thus, the client accepts the message at $n_4$ and we also have $p_e = p_s$ because the Body-field of the response is set to $p_s$ by the server.

8. SECURITY OF 2AMEX-1

To prove Theorem 2, we perform a concrete security analysis of 2AMEX-1: We show that an adversary with a given resource bound and success probability against 2AMEX-1 immediately leads to an attack on the signature scheme with resource bound and success probability “close” to the ones of the given adversary against 2AMEX-1.

We proceed in two steps: We first show that every successful attack against 2AMEX-1 must involve the forgery of a signature of an uncorrupted principal, or the collision of two nonces chosen by the client algorithm. Since both of these events happen with very low probability only (provided that the signature scheme is secure), this implies that 2AMEX-1 is secure in an asymptotic sense.

In a second step, for a more detailed analysis, we provide a simulator $\hat{S}$ which turns any adversary $A$ against 2AMEX-1 into an adversary $\hat{S}_A$ against the signature scheme. We then analyze the success probability of $\hat{S}_A$, which is “close” to the success probability of $A$, and the running time of $\hat{S}_A$, which is roughly speaking, linear in the running time of $A$.

Note that the first part of the proof does not rely on any assumptions about the security of the signature scheme.

8.1 Attack Implies Collision or Forgery

THEOREM 3. Let $A$ be an arbitrary adversary. Then for every run of the experiment $\text{Exp}_{2AMEX-1}\_A$ in which the event $\text{NoMatch}_{2AMEX-1}\_A$ occurs, one of the following events occurs as well:

(a) There are two client instances $\Gamma_{s,i}$ and $\Gamma_{s,i}'$ with $i \neq i'$, and both client sessions choose the same message $m$

(b) $A$ produces a bitstring that is accepted as a valid signature for an uncorrupted identity $a$, which was not obtained from the client or server algorithms or the signature oracle.

Note that to achieve the properties mentioned in the theorem, the client algorithm could also use a counter to determine fresh message id’s for each message. This would be sufficient to ensure security of our protocol, but comes with the price of the client having to maintain a long-term state.

To prove Theorem 3, we first show that 2AMEX-1 is resistant against replay attacks. The following lemma states that the same message is not accepted twice by a server during a protocol run.

**LEMMA 1.** Let $A$ be an adversary and $s \in \text{Ids}$. Then in every run of $\text{Exp}_{2AMEX-1}\_A$, if $(n_1, \text{receive}, t_1(n_1), p_1, m_1, A, c_1, h_1)$ and $(n_2, \text{receive}, t_2(n_2), p_2, m_2, A, c_2, h_2)$ are entries in $\tau_s$ with $m_1 = m_2$, then $n_1 = n_2$.

For the proof, we define the following notation: For a server identity $s$, let $t_{\text{min}}(n)$ denote the value of $s$’s internal variable $t_{\text{min}}$ before step $n$.

Assume that a server $s$ accepts a message $m = (\text{From}; c, T_0; s, \text{MsgID}; r, \text{Time}; t, \text{Body} = \pi)$ twice, at steps $n_1$ and $n_2$, where $n_1 < n_2$. Then at the step $n_1$, the pair $(t, r, c)$ is inserted into $L$. At point $n_2$, since $s$ accepts the message $m$ again, we know that $(t, r, c)$ is not contained in $L$ anymore. Also, $t_{\text{min}}(n_2) < t$ (otherwise, $s$ rejects).

Assume there was no reset between $n_1$ and $n_2$. Since $(t, r, c)$ has been removed from $L$ at some point before $n_2$, we know that $t_{\text{min}}(n_2) \geq t$ due to the construction of the protocol. This is a contradiction to the above.

Hence a reset happened at step $n_r$, where $n_1 < n_r < n_2$. Due to the monotonicity of the clocks, $t_{s}(n_1) \leq t_s(n_r)$. Now, since the server accepted the message $m$ with timestamp $t$ at point $n_1$, we know that $t \leq t_s(n_1) + \text{tol}$. We also know that $t_s(n_1) + \text{tol} \leq t_{\text{min}}(n_2)$, since the server runs 2AMEX-1. Therefore we conclude $t_{\text{min}}(n_2) < t \leq t_s(n_1) + \text{tol} \leq t_{\text{min}}(n_2)$—a contradiction.

Note that the preceding proof is the only situation where we actually use monotonicity of the clocks—it is obvious that clocks are needed only to circumvent replay attacks. Also, it is immediate from the proof that it suffices to demand that clocks of participants who act in the server role are monotone.

We now prove Theorem 3: Fix a run of the experiment $\text{Exp}_{2AMEX-1}\_A$ in which the event $\text{NoMatch}_{2AMEX-1}\_A$ appears. Note that by construction of the experiment, every signature for a valid 2AMEX-1 message that $A_{\text{aut}}$ did not generate internally (possibly with access to the secret key after corruption) appears in the trace of the corresponding principals: By definition, such messages are elements of the exception set $E^*$, and hence the signature oracle $S$ refuses to sign these bitstrings. We now define a partner function as follows: For every client instance $\Gamma_{s,i}$, if the first accepting step in $\tau_{s,i}$ (which must be a send-instruction) is $(n, \text{send}, t, p, m, A)$, then let $f(c, s, i) = n'$, where $n'$ is the smallest step number referring to an accepting receive-query of the server instance $\Sigma_i$ with incoming message $m$, if such a step exists. Let $f(c, s, i)$ be undefined otherwise. In particular, $\text{NoMatch}^c$ appears. By the prerequisites, we know that $\text{NoMatch}$ occurs in the protocol run. Now indirectly assume that neither existential forgery against an uncorrupted key, nor collision of message id’s for client sessions $\Gamma''_{s,i}$ and
The definition of NoMatch (see Section 5.3).

Case 1. Assume that case (a) occurs. By definition of the NoMatch event, there are parties $c, s$, a session number $i$, and a step $n_4$ such that $c$ and $s$ are not corrupted at step $n_4$, the client $\Gamma_{c,s}$ accepted at $n_4$, but $tr_{c,s}'$ does not match the server trace $tr_s$ w.r.t. $f$. This means that the accepting steps of $tr_{c,s}'$ are of the form $(n_3, send, t_3, p_3, m_3, A)(n_4, receive, t_4, p_4, m_4, A)$, but there are no $t_2, t_3, n_2, n_3, h'$ with $n_1 < n_2 < n_3 < n_4$, such that $(n_2, send, t_2, p_2, m_2, A, c, h') \in tr_s$ and $(n_3, send, t_3, p_3, m_3, A, c, h') \in tr_s$ with $f(c,s,i) = n_2$. Since both $c$ and $s$ are not corrupted at step $n_4$, the signature available to $A$ does not allow the signing of valid protocol messages, and we assumed that existential forgery did not occur, it follows that every valid protocol message signed with the keys of $c$ or $s$ that was obtained before the step $n_4$ were obtained by a call of the client or server instance.

Since the client $\Gamma_{c,s}$ accepted the incoming message $m_s$, we know that $m_s$ is a valid 2AMEX-1 message send by a server with $s$'s signature. Note that 2AMEX-1 allows to distinguish messages sent by client or by servers: the former contain a message id, the latter a reference to one. By construction of the protocol, this means that there is an entry $(n_3, send, t_3, p_3, m_3, A, c, h')$ in the server trace $tr_s$, and since $A$ had access to $m_s$ in step $n_4$, it follows that $n_3 < n_4$. Since the client instance $\Gamma_{c,s}'$ extracted the payload $p_3$ from $m_s$, and the server instance $\Sigma_s$ encapsulated the payload $p_3'$ into $m_s$, it follows that $p_3 = p_3'$. Since $\Gamma_{c,s}'$ accepts $m_s$, it is addressed to $c$, and by construction of the protocol it follows that $c = c'$. Therefore the above step in $tr_s$ is $(n_3, send, t_3, p_3, m_3, A, c, h')$, with $n_3 < n_4$.

Further, we know that a server $s$ accepts a send-route request only if there is a preceding receive-route request accepted by $s$ with a matching message label (i.e., a message id). Hence there is an entry $(n_2, receive, t_2, p_2', m_2', A, c', h')$ in the trace $tr_s$ with $n_2 < n_3$, and there is no accepted receive instruction or send instruction with message handle $h$ in $tr_s$ with a step number between $n_2$ and $n_3$. By construction of the protocol, it follows that $c' = c$. Since $\Sigma_s$ accepts the message $m_s'$ and determines the sender to be $c'$, it follows that $m_s'$ is a valid 2AMEX-1 client message, is addressed to $s$, and carries a correct signature for $c$'s key. Due to the above, and since $m_s'$ is addressed to the server $s$, we can assume that $m_s'$ was obtained by the call of a client instance $\Gamma_{c,s}'$. Hence there is an entry $(n_1, send, t_1, p_1, m_1, A)\ \Sigma_s$ with $n_1 < n_2$ in the client trace $tr_{c,s}'$. Since the payload $p_1'$ was encapsulated into $m_1'$, and $p_1'$ was extracted from $m_1'$, it follows that $p_1' = p_1'$. Since $\Gamma_{c,s}'$ accepts $m_s$, we know that (due to the verification of message id's, and since we assumed that collision of id's between $\Gamma_{c,s}'$ and $\Gamma_{c,s}(i \
eq i')$ does not occur) $m_s$ contains a reference to the message id of $m_1'$, which encapsulated the payload $p_1$. Since $m_s$ was created by $\Sigma_s$ using the message handle that $\Sigma_s$ output when processing $m_1'$, we know from the construction of 2AMEX-1 that $m_s$ carries a reference to the message id contained in $m_1'$. Hence $m_s$ and $m_s'$ have the same message id, and by the above assumption it follows that $m_s = m_s'$, implying $p_1 = p_1 = p_1'$. It follows that the above step in $tr_s$ is of the form $(n_2, receive, t_2, p_2, m_2, A, c, h')$. Again due to our assumption that collisions of message id's do not occur, and since $m_s$ was created in both the client session $i$ and in the session $i'$, it further follows that $i = i'$ and thus $n_1 = n_1$, which implies $n_1 < n_2 < n_3 < n_4$. In particular, the message $m_s$ was sent by the client instance $\Gamma_{c,s}'$.

We now show that $f(c,s,i) = n_2$. By construction, since $m_s$ is the message created by the client instance $\Gamma_{c,s}'$, $f(c,s,i) = n_s$ where $n_s$ is the lowest step number such that $\Sigma_s$ accepted the message $m_s$ in step $n_s$. By the above, we know that $\Sigma_s$ accepted $m_s$ in step $n_2$. By Lemma 1, we know that a server accepts a message at most once. Hence it follows that $n_2 = n_s$, and by the steps exhibited in the server trace $tr_s$ above, we know that the trace $tr_{c,s}'$ matches the server trace $tr_s$ w.r.t. $f$—a contradiction.

Case 2. In case (b), there are parties $c$ and $s$ and a step $n_2$ such that $c$ is not corrupted in step $n_2$, and there is a step $(n_2, receive, t_2, p_2, m_2, A, c, h')$ in the trace $tr_s$ which does not match $tr_{c,s}'$ for any session number $i$, i.e., there is no $i$ such that the first accepting entry in $tr_{c,s}'$ is of the form $(n_1, send, t_1, p_1, m_1, A)$ for some $n_1 < n_2$ such that $f(c,s,i) = n_2$.

Since $s$ accepts $m_s$ and determines that it has been sent by $c$, we know that $m_s$ carries a valid signature by $c$, and is a 2AMEX-1 message. Since we assume that existential forgery does not occur, $c$ is not corrupted in step $n_2$, and $m_s$ is addressed to $s$, we know that $m_s$ was obtained from a client instance $\Gamma_{c,s}'$. Hence there is an entry $(n_1, send, t_1, p_1, m_1, A)$ in $tr_{c,s}'$, with $n_1 < n_2$ (since $m_s$ must be obtained before the adversary can use it). Since $p_1'$ is the payload encapsulated in $m_s$ and $p_1$ is the payload extracted from $p_s$, it follows that $p_1 = p_1'$. Hence the above step is of the form $(n_1, send, t_1, p_1, m_1, A)$. Since $m_s$ is the message created by the client instance $\Gamma_{c,s}'$ and $m_s$ was accepted by $\Sigma_s$ in step $n_2$ (and, by Lemma 1, in no other step), it follows that $f(c,s,i) = n_2$. Since the step $(n_2, receive, t_2, p_2, m_2, A)$ matches the trace $tr_{c,s}'$—a contradiction. □

8.2 Concrete Analysis

We now prove Theorem 2. Let $\Pi$ denote the protocol 2AMEX-1. As noted above, we provide a simulator $\Sim$ which turns an adversary $A$ against 2AMEX-1 into an adversary $\Sim_A$ against the signature scheme. By abuse of terminology, we also refer to the adversary $\Sim_A$ as “the simulator” to distinguish it from the adversary $A$.

Let $\mathcal{A}$ be a $(t, \mathcal{N}, \mathcal{V}, \mathcal{R}, \mathcal{M}_c, \mathcal{M}_s, \mathcal{R}_c, \mathcal{R}_s)$-adversary against the protocol 2AMEX-1 which has an advantage $Adv_{\mathcal{A}}$. Let $S = (G, S, V)$ be the signature scheme used in the protocol. We will analyze the adversary $\Sim_A$ against the signature scheme $S$. Thus, $\Sim_A$ will be given a public key $pk_s$ and a signature oracle $\mathcal{O}_s$; to successfully break the signature scheme, it has to provide a message $m$ and a signature $\sigma$ such that $V((m, \sigma), pk_s)$ returns true.

We briefly sketch what the simulator does. Roughly speaking, the simulator runs the experiment from Table 2, where it replaces one (randomly chosen) public key with $pk_s$. If a message has to be signed with the corresponding private key or if the adversary uses the corresponding signature oracle, the simulator uses $\mathcal{O}_s$ and logs the signature. All other queries of the adversary are answered according to the protocol specification. If the adversary is successful because it manages to forge a signature which has not been produced by $\mathcal{O}_s$, and thus not logged, the simulator outputs this forgery; if not, the simulator fails. The details are omitted.
because of the space limit.

Success probability. First, we analyze the advantage \( \text{Adv}_{\text{Sim}, A} \) of the simulator \( \text{Sim}, A \) against the signature scheme \( S \).

We define \( \text{NoMatch}_{\Pi, A} \) to be the event where the adversary is successful against an identity \( a \), either by forging a signature under \( a \)'s identity without corrupting \( a \)'s private key, or because two client instances of \( a \) chose colliding message id's. Due to Theorem 3 we know that \( \text{NoMatch} = \bigcup_{a \in A} \text{NoMatch}^a \). Thus, we have

\[
\text{Adv}_{\text{Sim}, A} = \Pr(\text{NoMatch}_{\Pi, A}) = \sum_{a \in A} \Pr(\text{NoMatch}_{\Pi, A}^a) .
\]

(3)

Now let \( \text{Sim}^a_{\Pi, A} \) be the variant of the simulator \( \text{Sim}, A \) that replaces \( a \)'s public key with \( pk_a \). This simulator is successful if the event \( \text{NoMatch}_{\Pi, A} \) occurs, but no message id's collide, which we denote by \( \text{Coll}_{\Pi, A} \). Thus, we have

\[
\text{Adv}_{\text{Sim}, A}^a \geq \Pr(\text{NoMatch}_{\Pi, A}^a \cap \text{Coll}_{\Pi, A}) .
\]

(4)

The probability \( \Pr(\text{NoMatch}_{\Pi, A}^a \cap \text{Coll}_{\Pi, A}) \) is at least \( \Pr(\text{NoMatch}_{\Pi, A}^a) - \Pr(\text{Coll}_{\Pi, A}) \), where \( \text{Coll}_{\Pi, A} \) denotes that a collision occurred. Hence, as the simulator \( \text{Sim}, A \) chooses some \( a \in A \) at random and replaces \( a \)'s public key with \( pk_a \), we have

\[
\text{Adv}_{\text{Sim}, A} = \frac{1}{n_{\Pi}} \sum_{a \in A} \text{Adv}_{\text{Sim}, A}^a\]

(5)

\[
\geq \frac{1}{n_{\Pi}} \sum_{a \in A} \Pr(\text{NoMatch}_{\Pi, A}^a \cap \text{Coll}_{\Pi, A})
\]

(6)

\[
\geq \frac{1}{n_{\Pi}} \sum_{a \in A} (\Pr(\text{NoMatch}_{\Pi, A}^a) - \Pr(\text{Coll}_{\Pi, A}))
\]

(7)

\[
\geq \frac{1}{n_{\Pi}} \sum_{a \in A} \Pr(\text{NoMatch}_{\Pi, A}^a) - \Pr(\text{Coll}_{\Pi, A})
\]

(8)

\[
= \frac{\text{Adv}_{\Pi, A}}{n_{\Pi}} - \Pr(\text{Coll}_{\Pi, A}) .
\]

(9)

Finally, the probability \( \Pr(\text{Coll}_{\Pi, A}) \) can be calculated as follows. For each \( \text{send} \) action of a client, one message id of length \( l_{\text{msg}} \) is randomly chosen. Thus, at most \( n_{\text{ID}} \cdot n_{\text{send}} \) message id's are chosen from a set of size \( 2^{l_{\text{msg}}} \). The resulting probability of a collision is given by

\[
\Pr(\text{Coll}_{\Pi, A}) = 1 - \frac{2^{l_{\text{msg}}}}{(2^{l_{\text{msg}}} - n_{\text{ID}} \cdot n_{\text{send}}) !} = \frac{n_{\text{ID}} \cdot n_{\text{send}}}{2^{l_{\text{msg}}} - n_{\text{ID}} \cdot n_{\text{send}}} .
\]

(10)

Running Time. We now analyze the running time of the simulator \( \text{Sim}, A \). We first give an asymptotic analysis and then simplify the resulting term for the running time given certain assumptions. First, let \( \text{cap}_{\max} = \max \{ \text{cap}, s \in \text{ID}s \} \).

As we use the algorithms of the signature scheme, we use the following variables and functions to denote their running time: Generating a key pair takes \( t_\text{key} \) time, signing or verifying a bit-string with \( l \) bits takes \( t_\text{sign}(l) \) or \( t_\text{verify}(l) \) time, respectively. We assume that \( t_\text{sign}(l) = O(t_\text{verify}(l)) \) and \( t_\text{verify}(l) \in \Omega(l) \).

We also use maps to store keys and associated values. We assume the time to initialize a new map is constant, we denote the time of the other operations on the map (add, remove, lookup) with \( t_\text{map}(n, l) \) where \( n \) is the maximal number of entries in the map and \( l \) is the maximal length of the keys. On the machine model we use, the operations (add, remove, lookup) can be performed in time linear in \( l \), e.g., by using Tries.

Another prerequisite we use is a pair of an encoding function and a decoding function \((E, D)\) which can merge multiple bit strings into a single bit string and extract a number of bit strings from a single bit string, respectively. For each operation mode \((o, n) \in \{(\text{tuple}, 2), (\text{request}, 5), (\text{response}, 4), (\text{signature}, 2)\}\) and all bit strings \( \beta_1, \ldots, \beta_n \), we assume \( D(o, E(\beta_1, \ldots, \beta_n)) = (\beta_1, \ldots, \beta_n) \) and \( |E(o, \beta_1, \ldots, \beta_n)| = O(\sum_{k=1}^n |\beta_k|) \).

Now, the running time of the single functions can be bounded as shown in Table 3 for a fixed \( l_{\text{max}} \in O(l_{\text{ID}} + l_{\text{msg}} + l_{\text{time}} + l_{\text{data}}) \). Then the overall running time of the simulator \( \text{Sim}, A \), denoted \( t \), is as follows, where \( n_{\text{ops}} = n_{\text{rcv}} + n_{\text{send}} + n_{\text{sign}} + n_{\text{time}} \):

\[
t \in O(t_\text{ID} + n_{\text{ID}} \cdot t_\text{sign} + n_{\text{ID}} \cdot l_{\text{ID}} \cdot n_{\text{sign}} + n_{\text{ID}} \cdot l_{\text{ID}} \cdot n_{\text{msg}})
\]

\[
+ n_{\text{ID}} \cdot t_{\text{time}} \cdot n_{\text{ID}} \cdot n_{\text{send}} \cdot (t_{\text{clientSend}} + t_{\text{serverSend}})
\]

\[
+ n_{\text{rcv}} \cdot (t_{\text{clientReceive}} + t_{\text{serverReceive}})
\]

\[
= O(t_\text{ID} + n_{\text{ID}} \cdot (t_\text{sign} + n_{\text{ops}} \cdot (t_{\text{sign}} + t_{\text{msg}}))
\]

\[
+ t_{\text{map}}(n_{\text{ID}} + n_{\text{ID}}) + \text{cap}_{\max} \cdot (l_{\text{ID}} + l_{\text{time}}))
\]

\[
= O(t_{\text{ID}} + n_{\text{ID}} \cdot (t_\text{sign} + n_{\text{ops}} (t_{\text{sign}} + \text{cap}_{\max} (l_{\text{ID}} + l_{\text{time}})))).
\]

Note that the machine model we use would allow us to address arbitrary registers, e.g., we could directly use bit-strings (encoded as numbers) as register numbers to store or retrieve information and thus replace, e.g., the map which stores information about messages signed so far and their signatures—this would result in an unrealistic speedup for our algorithms and the use of an exponential number of registers in the length of messages. However, our simulator only uses these capabilities of the model in the standard way. In particular, the adversary \( \text{Sim}, A \) obtained by our construction is a natural and realistic adversary.

Finally, note that the simulator \( \text{Sim}, A \) makes at most \( n_{\text{sign}} + n_{\text{send}} \) queries to the signature oracle it is provided with, as this is the maximal number of calls to the \( \text{sign} \) function per identity. In each of these calls, at most \( l_{\text{msg}} \) are being signed. Thus, the total number of bits signed by the oracle is at most \( (n_{\text{sign}} + n_{\text{send}}) \cdot l_{\text{msg}} \).

9. CONCLUSION

We provided a framework for analyzing cryptographic protocols for the practically relevant goal of two-round authen-
ticated message exchange, taking into account common protocol elements such as timestamps, nonces, signatures, and signed parts. For the first time, this allows sound cryptographic security proofs of protocols in this setting. Using our framework we proved secure the protocol 2AMEX-1, which had not been specified in detail before, but variants of which are widely used in practice.

10. REFERENCES